

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of : Group Art Unit:  
Artavanis-Tsakonas et al. :  
  
Serial No.: 08/083,590 Examiner:  
  
Filed: June 25, 1993 :  
  
For: THERAPEUTIC AND DIAGNOSTIC  
METHODS AND COMPOSITIONS :  
BASED ON NOTCH PROTEINS AND  
NUCLEIC ACIDS :  
  
Attorney Docket No.: 7326-015 :  
Pennie & Edmonds  
1155 Avenue of the Americas  
New York, New York 10036

REQUEST FOR REFUND PURSUANT TO 37 C.F.R. § 1.28(a)

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Attention: Refund Section, Accounting Division  
Office of Refund

Sir:

A filing fee in excess of the required fee has been paid in connection with the subject patent application. In particular, a fee of \$6,600.00 has been paid. An Assignment of the application to Yale University, 451 College Street, New Haven, Connecticut 06520 is submitted concurrently herewith. Yale University qualifies as a small entity under 37 C.F.R. 1.9(f) and 1.27(d) and, therefore, a fee of only \$3,300.00 is required. As per instructions set forth in the notice at 1027 O.G. 115 from the then

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EXPRESS MAIL CERTIFICATION

"Express Mail" Label No. TB 389 222 812 US Date of Deposit August 13, 1993  
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

ISMAEL M. DONALDO  
(Signature of person mailing paper or fee)

PENY-198098.1

Acting Commissioner of Patents and Trademarks, Donald J. Quiggle, a Verified Statement

Claiming Small Entity Status [37 C.F.R. 1.9(f) and 1.27(d)] is enclosed herewith.

Please refund the excess by crediting \$3,300.00 to Deposit Account No.

16-1150. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PENNIE & EDMONDS  
Attorneys for Applicants

Date: August 13, 1993

*S. Leslie Misrock* / *By Adrienne Antler*  
S. Leslie Misrock *Reg. No. 32,605*  

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18,872  
(Reg. No.)

Express Mail No. TB389 222 812 US



T.C. \_\_\_\_\_

New York, NY August 13, 1993

The stamp of the Patent Office hereon may be taken  
as an acknowledgment of the date stamped of the

~~AMENDMENT~~ in Case 7326-015

Request for Refund Pursuant to CFR § 1.28(a)  
Recordation Form Cover sheet for Assignment  
Assignment

Declaration and Power of Attorney  
Verified Statement Claiming Small Entity Status  
Transmittal of Declaration After Filing Date  
08/083,590

AMA



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